03/26/01

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. **30509** 

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

### TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

:3-11-01

Washington, D.C. 20231								
Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an								
DROUGHT TOLERANT PLANTS AND METHODS OF INCREASING DROUGHT TOLERANCE IN PLANTS								
and invented by:								
WANG, Xuemin and Yongming Sang								
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:								
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:								
Which is a:  ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:								
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  Which is a:								
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:								
Enclosed are: Application Elements								
Filing fee as calculated and transmitted as described below								
2. Specification having 30 pages and including the following:								
a. 🗵 Descriptive Title of the Invention								
b.   Cross References to Related Applications (if applicable)								
c.   Statement Regarding Federally-sponsored Research/Development (if applicable)								
☐ Reference to Microfiche Appendix (if applicable)								
e. 🗵 Background of the Invention								
f. 🗵 Brief Summary of the Invention								
g. 🗵 Brief Description of the Drawings (if drawings filed)								
h. 🗵 Detailed Description								
i. 🗵 Claim(s) as Classified Below								
j. 🗵 Abstract of the Disclosure								

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. **30509** 

Total Pages in this Submission

### **Application Elements (Continued)**

3.	$\times$	Drawing(s) (when necessary as prescribed by 35 USC 113)									
	a.										
4.	X	Oath or Declaration									
	a.	. ☑ Newly executed (original or copy) ☐ Unexecuted									
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)									
	C.	☑ With Power of Attorney ☐ Without Power of Attorney									
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).									
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.									
6.		Computer Program in Microfiche									
7.	$\boxtimes$	Genetic Sequence Submission (if applicable, all must be included)									
	a.	. ☑ Paper Copy									
	b.	☑ Computer Readable Copy									
	C.	Statement Verifying Identical Paper and Computer Readable Copy									
	Accompanying Application Parts										
8.	$\boxtimes$	Assignment Papers (cover sheet & documents)									
9.		37 CFR 3.73(b) Statement (when there is an assignee)									
10.		English Translation Document (if applicable)									
11.	$\Box$	Information Disclosure Statement/PTO-1449									
12.		Preliminary Amendment									
13.	$\boxtimes$	Acknowledgment postcard									
14.	×	Certificate of Mailing									
		☐ First Class ☒ Express Mail (Specify Label No.): EL759816478US									

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

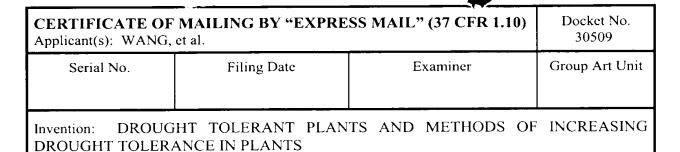
Docket No. **30509** 

Total Pages in this Submission

		A	ccompanying App	plication P	arts (Cor	ntinued)				
15.   Certified Copy of Priority Document(s) (if foreign priority is claimed)										
16. 🗆										
17. 🗆	17. Additional Enclosures (please identify below):									
			Fee Calculat	tion and Tr	ansmitta	 il				
		***************************************	CLAIMS A	S FILED						
Foi	•	#Filed	#Allowed	#Extra		Rate		Fee		
otal Clair	ns	59	- 20 =	39	. x	\$9.00		\$351.00		
ndep. Cla	ims	5	- 3 =	2	: x	\$39.00		\$78.00		
/lultiple D	ependent Cl	aims (check	if applicable)	]				\$0.00		
							BASIC FEE	\$345.00		
OTHER F	EE (specify	purpose)						\$0.00		
	V					TOTAL	FILING FEE	\$774.00		
⊠ The C as de: □ ⊠	scribed below Charge the Credit any Charge any Charge the	is hereby au	thorized to charge a e copy of this sheet as t. ling fees required u et in 37 C.F.R. 1.18	is enclosed is filing fee. Inder 37 C.I at the maili	Deposit A.  F.R. 1.16  Ing of the  racey S. 7  lovey, Wi	and 1.17. Notice of A	Signature No. 43,205 mons & Collins	,		
						ty, Missouri				

CC:

(816) 474-9050



I hereby certify that this Express Mail Certification; Express Mail Certificate (1 pg); Patent Application Transmittal Letter (original plus one); Patent Application for DROUGHT TOLERANT PLANTS AND METHODS OF INCREASING DROUGHT TOLERANCE IN PLANTS (30 pgs); \$774.00 Patent Application Filing Fee; Petition to Accept Color Photos; \$130 Petition Fee; Twenty-four (24) Formal Drawing Sheets; Assignment Transmittal (1 pg); Assignment (3 pgs); \$40.00 Assignment Recordation Fee; Combined Declaration and Power of Attorney (2 pgs); Sequence Statement; Transmittal Letter for CD Rom; Two (2) CD Rom; Paper Copy of Sequence Listing; Return Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on March 26, 2001.

Sarah Parton

(Typed or Printed Name of person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL759816478US

("Express Mail" Mailing Label Number)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: WANG, et al.	)	) Docket No: 30509						
Serial No.:	)							
Filed:	)							
DROUGHT TOLERANT PLANT	) IS AND	METHODS	OF	INCREASING	DROUGHT			
TOLERANCE IN PLANTS								

Assistant Commissioner of Patents Washington, D.C. 20231

#### TRANSMITTAL LETTER

Transmitted herewith are two CD-Rs ("compact disks-write once") labeled and hereafter referred to as Copy 1 and Copy 2.

Copy 1 and Copy 2 each contain an identical copy of a sequence listing appendix associated with and incorporated by reference into the above-identified non-provisional utility application. The machine format of each CD-R is IBM-PC; and the operating system compatibility is Windows 95.

Copy 1 and Copy 2 each contain identical copies of the following ASCII files:

Filename

Size (Bytes)

Date of Creation

30509s~1.TXT

5KB

3-26-01

Respectfully submitted,

 $R_{\nu}$ 

Tracey S. Truitt, Reg. No. 43,205 2405 Grand Boulevard, Suite 400

Kansas City MO 64108

(816) 474-9050

ATTORNEY FOR APPLICANT(S)